

Sustainable Procurement Survey - 2023 version

1. Relationship with Society		Please answer
Q1_1	Do you have any corporate policies/standards to ensure the quality and safety of your products? (Please choose one.)	1. Yes 2. No 3. Not applicable
Q1_2	Do you have any quality management systems to enhance your quality assurance system (e.g., ISO 9001, ISO 13485)? (Please choose one) *Presence or absence of certificates does not matter.	1. Yes 2. No 3. Not applicable
Q1_3_1	In the past year, were there any cases of corruption (e.g. bribery, extortion, embezzlement, offering undue benefits (including payment of bribes and corrupt inducements in government relationships), and illegal political contributions, and the like) in your relationship with stakeholders including government/public officials? (Please choose one.)	1. No 2. Yes
Q1_3_2	For those who answered "yes" to the question Q1_3_1, do you take measures to prevent recurrence? (Please choose one.) - Cases of corruption (e.g. bribery, extortion, embezzlement, offering undue benefits (including payment of bribes and corrupt inducements in government relationships), and illegal political contributions, and the like) in your relationship with stakeholders including government/public officials	1. Yes 2. No
Q1_4_1	Were there any cases of inappropriate supply or acceptance of profits or unreasonable demands from anti-social forces in the past year? (Please choose one)	1. No 2. Yes
Q1_4_2	For those who answered "yes" to the question Q1_4_1, do you take measures to prevent recurrence? (Please choose one) - Cases of inappropriate supply or acceptance of profits or unreasonable demands from anti-social forces	1. Yes 2. No
Q1_5	Do you voluntarily carry out corporate activities to contribute to the development of international or local societies? (Please choose one)	1. Yes 2. No
Q1_6	Do you have any company policies that commit to respecting various rights in good-faith compliance with applicable laws and regulations of the countries and regions where the company operates? (Please choose one.)	1. Yes 2. No
2. Relationship with Employees		Please answer
Q2_1	In accordance with applicable laws and regulations on industrial and occupational safety and health, do you monitor and understand the situation of industrial accidents or diseases in your company and take appropriate measures? (Please choose one.)	1. Yes 2. No
Q2_2_1	Were there any cases of industrial accidents or diseases that required 4 or more days of business suspension in the past year? (Please choose one)	1. No 2. Yes
Q2_2_2	For those who answered "yes" to the question Q2_2_1, do you take measures to prevent recurrence? (Please choose one) - Cases of industrial accidents or diseases that required 4 or more days of business suspension	1. Yes 2. No
Q2_3	Do you provide education/training on the handling of medicines and poisonous substances, safety information, and so on? (Please choose one)	1. Yes 2. No 3. Not applicable
Q2_4	Do you have any company policies, regulations, or rules (e.g., employment regulations) that establish compliance with various labor regulations such as work hours and wages of employees? (Please choose one.)	1. Yes 2. No
Q2_5_1	Were there any violations of various labor regulations such as those pertaining to work hours and wages of employees in the past year? (Please choose one)	1. No 2. Yes

Q2_5_2	For those who answered "yes" to the question Q2_5_1, do you take measures to prevent recurrence? (Please choose one) - Violations of various labor regulations such as those pertaining to work hours and wages of employees	1. Yes
		2. No
Q2_6	Do you create or provide a work environment where people of diverse backgrounds (in terms of gender, disability, race, nationality, etc.) can demonstrate their abilities? (Please choose one.)	1. Yes
		2. No
Q2_7	Do you prepare emergency measures (business continuity plans [BCPs]) in case of possible disasters/accidents? (Please choose one)	1. Yes
		2. No
Q2_8	Do you respect employees' unity and allow your employees to form and join their unions and conduct collective bargaining as means to ensure labor-management consultation regarding labor environment and wage level? The question is not to ask whether a labor union exists or not, but to ask whether your company recognizes the right of workers to organize and bargain collectively as permitted by laws and regulations. (Please choose one.)	1. Yes
		2. No
		3. Not applicable
3. Compliance with Rules		Please answer
Q3_1	To fulfill corporate social responsibility (CSR), do you have any systems (e.g., committees, selecting responsible personnel, etc.) to develop and promote various internal policies/rules based on social rules? (Please choose one.)	1. Yes
		2. No
Q3_2	Do you provide education (or activity at workplace) on legal compliance regularly (at least once a year)? (Please choose one)	1. Yes
		2. No
Q3_3_1	Were there any violations of laws on commercial transactions (e.g., Act against Delay in Payment of Subcontract Proceeds, Etc. to Subcontractors and Antitrust Law) in the past year? (Please choose one)	1. No
		2. Yes
Q3_3_2	For those who answered "yes" to the question Q3_3_1, do you take measures to prevent recurrence? (Please choose one) - Violations of laws on commercial transactions (Antitrust Law)	1. Yes
		2. No
Q3_4	Do you promote activities to prevent employee's frauds and have an whistleblowing system in place (with a prohibition on retaliation and disadvantageous treatment against the whistle-blowers) in order to find and respond to such frauds at early stages? (Please choose one.)	1. Yes
		2. No
Q3_5_1	Were there any cases of internal fraud reported (whistle-blowing) in the past year? (Please choose one)	1. No
		2. Yes
Q3_5_2	For those who answered "yes" to the question Q3_5_1, do you take measures to prevent recurrence of the reported fraud? (Please choose one) - Cases of internal fraud reports (whistle-blowing)	1. Yes
		2. No
Q3_6	Do you establish appropriate management or system to prevent infringement of intellectual property rights of others (e.g., patent rights, trademark rights, copyrights) or illegal acquisition of trade secrets? (Please choose one)	1. Yes
		2. No
Q3_7_1	Were there any cases of infringement of intellectual property rights of others (e.g., patent rights, trademark rights, copyrights) or illegal acquisition of trade secrets in the past year? (Please choose one)	1. No
		2. Yes
Q3_7_2	For those who answered "yes" to the question Q3_7_1, do you take measures to prevent recurrence? (Please choose one) - Cases of infringement of intellectual property rights of others (e.g., patent rights, trademark rights, copyrights) or illegal acquisition of trade secrets	1. Yes
		2. No
Q3_8	Do you have any internal rules that require consideration to the perspectives of animal welfare in connection with animal experiments in non-clinical studies? (Please choose one.)	1. Yes
		2. No
		3. We do not conduct animal experiments.
Q3_9	Do you encourage or recommend (all or part of) your suppliers (who supply goods or services to your company) to conduct initiatives of sustainable activity while fulfilling their corporate social responsibilities, such as legal compliance and respect for human rights? (Please choose one.)	1. Yes
		2. No

Q3_10_1	In the past year, were there any cases of falsification of documents/data (i.e., improper manipulation of documents/data that are related to laws and regulations, or contracts with business partners)? (Please choose one.)	1. No
		2. Yes
Q3_10_2	For those who answered "yes" to the question Q3_10_1, do you take measures to prevent recurrence? (Please choose one.) - Cases of falsification of documents/data that are related to laws and regulations or contracts with business partners	1. Yes
		2. No
Q3_11	Do you have a system to check for conflicts of interests in transactions? (Please choose one.)	1. Yes
		2. No
4. Respect for Human Rights		Please answer
Q4_1	Do you have any policies/rules that provide for prohibition of discrimination by race, ethnicity, nationality, social class, lineage, age, pregnancy, gender, disability, health condition, thought and creed, sexual orientation or identity, by difference in job type or employment form, marital status, or the like? (Please choose one.)	1. Yes
		2. No
Q4_2	Do you have any policies/rules of prohibition of forced labor, slave labor (including modern slavery), and wrongful use of young workers and child labor? (Please choose one.)	1. Yes
		2. No
Q4_3_1	In the past year, were there any cases of forced labor, slave labor (including modern slavery), or wrongful use of young workers or child labor? (Please choose one.)	1. No
		2. Yes
Q4_3_2	For those who answered "yes" to the question Q4_3_1, do you take measures to prevent recurrence? (Please choose one.) - Cases of forced labor, slave labor (including modern slavery), or unjustifiable youth or child labor	1. Yes
		2. No
Q4_4	Do you properly manage working hours of foreign workers (including foreign technical intern trainees), pay wages to them in accordance with applicable laws and regulations, and the like?	1. Yes
		2. No
		3. Not applicable (no foreign workers)
Q4_5	Do you accept foreign technical intern trainees? This question only applies to Japanese companies. If you are not a Japanese company, please choose the option 4 ("Not applicable"). If you are a Japanese company, please choose any one of 1, 2, or 3. *A Japanese company means a company commercially registered in Japan.	1. No
		2. Yes
		3. No, because we do not fall under any of the government-designated business categories eligible to do so.
		4. Not applicable because we are not a Japanese company
Q4_6	Do you have anti-harassment initiatives (e.g., education, whistleblowing system, etc.) in accordance with applicable laws and regulations? (Please choose one.)	1. Yes
		2. No
Q4_7_1	Were there any cases of harassment in the past year? (Please choose one)	1. No
		2. Yes
Q4_7_2	For those who answered "yes" to the question Q4_7_1, do you take measures for the harassment (including relief of the victims) or for the prevention of recurrence? (Please choose one)	1. Yes
		2. No

Q4_8	Do you have systems or procedures to identify and improve human rights issues in your supply chain? (Please choose one.)	1. Yes
	Note: This question asks about your company's system to your suppliers (i.e., upstream suppliers) to identify and improve human rights issues that may occur there. The option 3 ("Not applicable") should be chosen only if you have no suppliers, such as where you are a service provider whose activities are completed by your company alone.	2. No
		3. Not applicable
Q4_9	Do you provide (or build or participate in) the rights for employees in the supply chain to receive remedies through an easily accessible, reliable, and fair grievance process? (Please choose one.)	1. Yes, we provide (or build or participate in) such rights.
	Note: This is not the whistleblowing system described in Q3_4. It refers to a complaint-handling process available to employees of not only your company but also of your direct and indirect suppliers under the United Nations' "Guiding Principles on Business and Human Rights" and the Japanese government's "Guidelines on Respecting Human Rights in Responsible Supply Chains." Participation in a complaint-handling process refers to participating in a system established by your company, another company, or an industry organization.	2. No, we do not provide (or build or participate in) such rights.
5. Environmental Preservation		Please answer
Q5_1	Do you operate any environmental management systems to reduce environmental risks and improve environmental performance? (Please choose one.)	1. Yes
	*It does not matter whether you have obtained any certification by an external certification body.	2. No
		3. Not applicable
Q5_2	Do you set policies/targets to reduce emissions of greenhouse gas such as CO2 and promote them in a systematic manner? (Please choose one)	1. Yes
		2. No
		3. Not applicable
Q5_3_1	Do you set policies/targets to save resources and energy to improve the intensity value? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_3_2	For those who chose the option 1 or 2 in Q5_3_1, can you provide the data such as your company's annual energy consumption, emissions of greenhouse gases and the like as needed? (Please choose one.)	1. Yes
		2. No
Q5_4	Do you understand the impact of your activities on biodiversity as much as possible and set policies/targets to promote resource utilization with consideration for the conservation of natural capital and biodiversity and promote them in a systematic manner? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_5	Do you set policies/targets to reduce spills and releases of substances that have an adverse impact on the environment environmental emissions of possibly hazardous chemicals and promote such policies/targets in a systematic manner? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_6	Do you set policies/targets to reduce environmental pollution (water, air, odor, vibration, noise, etc.) and promote them in a systematic manner? (Please choose one)	1. Yes
		2. No
		3. Not applicable
Q5_7	Do you appropriately manage, control, and treat emissions with the potential to adversely impact human health or environment prior to release? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_8_1	Was there any violations of laws/ordinances on waste in the past year? (Please choose one)	1. No
		2. Yes
Q5_8_2	For those who answered "yes" to the question Q5_8_1, do you take measures to prevent recurrence? (Please choose one) - Violations of laws/ordinances on waste	1. Yes
		2. No

Q5_9	Do you have any rules or procedures regarding waste disposal? (Please choose one)	1. Yes
		2. No
		3. Not applicable
Q5_10_1	Was there any violations of laws/ordinances on waste in the past year? (Please choose one)	1. No
		2. Yes
Q5_10_2	For those who answered "yes" to the question Q5_10_1, do you take measures to prevent recurrence? (Please choose one) - Violations of laws/ordinances on waste	1. Yes
		2. No
Q5_11	Do you make responses according to environmental laws (authorization and management report)? (Please choose one)	1. Yes
		2. No
		3. Not applicable
Q5_12	Do you reduce the amount of waste generated and promote recycling? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_13	Do you promote the reduction of water consumption? (Please choose one.)	1. Yes
		2. No
		3. Not applicable
Q5_14	In your procurement activities, do you promote to purchase products and merchandise that have less environmental impact as a company? (e.g., green procurement (purchase) goods, purchase of Eco Mark products, etc.) (Please choose one.)	1. Yes
		2. No
Q5_15	Do you conduct any surveys (e.g., questionnaires) or provide guidance to (part of) your suppliers (product and service suppliers to your company) regarding environmental protection? (Please choose one)	1. Yes
		2. No
		3. Not applicable
Q5_16	Climate change-related disclosures are covered by the revised 2021 TSE Corporate Governance Code. Do you disclose climate change-related information based on the TCFD? (Please choose one.) TCFD refers to the Task Force on Climate-related Financial Disclosures.	1. Yes
		2. In preparation for planned disclosure
		3. No
		4. Not applicable
6. Information Management		Please answer
Q6_1	Do you have organizations systems to appropriately manage personal information and confidential information properly obtained from others? Depending on the size of your company, you can answer "yes" as long as you have such a system even if you do not have a dedicated organization or team for that purpose. (Please choose one.)	1. Yes
		2. No
Q6_2	Cyber attacks from malicious institutions/individuals are becoming increasingly advanced and stealthy every year, affecting corporate management. Do you have technical responses to cyber attacks as a company? (Please choose one)	1. Yes
		2. No
Q6_3	Do you store, dispose, and maintain documents/data in accordance with the corporate rule based on the laws on information management? (Please choose one)	1. Yes
		2. No
Q6_4_1	Were there any cases of leakage of personal information or trade secrets in the past year? (Please choose one) *Choose Yes if you have the case even after recovery of such personal information or trade secrets.	1. No
		2. Yes
Q6_4_2	For those who answered "yes" to the question Q6_4_1, do you take measures to prevent recurrence? (Please choose one) - Cases of leakage of personal information or trade secrets	1. Yes
		2. No
Q6_5_1	Were there any cases of insider trading or suspected insider trading in the past year? (Please choose one)	1. No
		2. Yes

Q6_5_2	For those who answered "yes" to the question Q6_5_1, do you take measures to prevent recurrence? (Please choose one) - Cases of insider trading or suspected insider trading	1. Yes
		2. No
Q6_6	Do you conduct appropriate and fair information disclosure to stakeholders, regardless of whether such disclosure is required by laws and regulations or not? (Please choose one.)	1. Yes
		2. No
7. Risk Management		Please answer
Q7_1	Do you have any systems to appropriately manage risks related with your business (risk management system)? (Please choose one)	1. Yes
		2. No
Q7_2	Do you have any systems to manage risk-control capabilities/crises management skills that should be prepared for the prevention and early detection of crises and the quick recovery after the occurrence of such crises? (Please choose one)	1. Yes
		2. No
8. Questions commonly applicable to the entire Group Supplier Code of Conduct (management system)		Please answer
Q8_1	Do you provide the education necessary for your employees to comply with our Group Supplier Code of Conduct or equivalent norms and business-related laws, regulations, and international standards (including laws, regulations, and standards related to anti-bribery, anti-corruption, and respect for human rights)? (Please choose one.)	1. Yes
		2. No
Q8_2	Do you document and appropriately retain records as required by laws and regulations or as necessary for company management? (Please choose one.) Examples of documents necessary for company management include records of inspection by regulatory authorities, worker complaints, education and training records, and performance evaluation records. Quality records are assumed to be the documents required by laws and regulations.	1. Yes
		2. No
Q8_3	Do you have a system to communicate our Group Supplier Code of Conduct or equivalent norms, business-related regulations, and international standards to your suppliers? (Please choose one.)	1. Yes
		2. No
9. Free comment		
Q9_1	If you have answered that there were one or more occurrences in the past year of the cases specified in any of the relevant questions above, please describe a summary of such cases in the comment field below to the reasonable extent disclosable. *Please keep the description within 500 characters.	
Comment field		
Q9_2	If you have any additional information that you would like to add to this questionnaire, please describe it in the comment field below. If there are any unanswered questions, please explain the reasons. *Please keep the description within 500 characters.	
Comment field		
Q9_3	If you have any concerns in promoting sustainable procurement with us, please describe it in the comment field below. *Please keep the description within 500 characters.	
Comment field		